

## **BSA & AML Compliance**

**S**tate and Federal regulatory agencies are increasingly expecting financial institutions to step up efforts in combating money laundering and financing of terrorism. In this regard, these agencies have been imposing numerous BSA/AML compliance obligations on financial institutions, whether by new regulations, regulatory guidance or enforcement mechanisms.

Aldrich & Bonnefin can assist you in dealing with and responding to this increased compliance burden and associated risk. As part of this assistance, we offer the following support:

### ***Targeted BSA/AML Training (In-person or via webinar)***

- Board and Senior Management
- Operations and New Accounts Personnel
- Introductory Training for Newer Employees

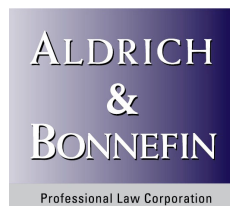
### ***Review of BSA/AML Policies and Procedures***

- High Level Issue Spotting
- Detailed Review and Redlining

### ***Regulatory Enforcement Guidance and Assistance***

- Assistance with responding to consent orders, MOU's or other enforcement-related actions
- Advice concerning regulatory expectations either pre- or post-enforcement

Financial institutions interested in more information on assistance with BSA/AML compliance efforts should contact **Keith Forrester** at **949.474.1944** or **KForrester@ABLAWYERS.COM**.



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